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# Institutional Conflicts of Interests in Human Subjects Research

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Department, Center, or Institute at the Institution, that in total exceed a value of \$500,000 in any calendar year from a particular company or other external entity and its affiliates (other than cooperative organizations); and

2.4.5. If received by the **Institution** or the **UGA Research Foundation** – royalties or other technology licensing payments from a company or other external entity (other than cooperative organizations) that sponsors Human Subjects Research Activity, all of which exceed \$100,000 from the same company or its affiliates in total in any calendar year.

2.5. An **Institutional Conflict of Interest** may exist, as will be determined by this policy, when a Significant Institutional Financial Interest could significantly and directly affect, or reasonably appears that it could affect, the design, conduct, reporting, review, or oversight of Human Subjects Research Activity performed by the Institution.

### 3. POLICY AND PROCEDURES

3.1. **Disclosures by Institutional Leaders.** Each Institutional Leader shall provide to the Office of Research Compliance annual disclosures of the Institutional Leader’s Significant Institutional Financial Interests under Section 2.4.1 (compensation), Section 2.4.2 (fiduciary role), and Section 2.4.3 (equity) received or held over the past year (as well as any others not previously reported).

3.2. **Disclosures by Institution.**

3.2.1. The Office of Research Compliance shall request and the UGA Division of Development and Alumni Relations and/or the Business and Accounting Office shall provide to the Office of Research Compliance a quarterly report of Significant Institutional Financial Interests under Section 2.4.3 (equity) and Section 2.4.4 (gifts) received by the Institution or the UGA Foundation over the past quarter (as well as any others not previously reported).

3.2.2. The Office of Research Compliance shall request and Innovation Gateway will provide to the Office of Research Compliance a quarterly report of Significant Institutional Financial Interests under Section 2.4.3 (equity) and Section 2.4.4 (gifts) received by the UGA Research Foundation over the past quarter (as well as any others not previously reported).

3.2.3. The Office of Research Compliance shall request and Innovation Gateway will provide to the Office of Research Compliance a quarterly report of Significant Institutional Financial Interests under Section 2.4.5 (royalties and licensing payments) that have been received by the UGA Research Foundation or the Institution over the past quarter (as well as any others not previously reported).

